

LARGE VOLUME EX VIVO ELECTROPORATION METHOD

BACKGROUND OF THE INVENTION

5

Cross-Reference to Related Application

This application claims priority based upon
copen ding United States Patent Application, of Walters
and King, Serial Number 60/454,360, Filing Date 14 March
10 2003, for LARGE VOLUME EX VIVO ELECTROPORATION METHOD.

Technical Field

The present invention relates generally to ex vivo
electroporation methods, and, more particularly, to
15 electroporation methods especially adapted for clinical
and industrial applications.

Background Art

Delivering large molecules into living cells for
20 therapeutic purposes, using ex vivo or in vitro
electroporation, has been described in the literature for
many years. The purpose of electroporation is to enhance
the movement of molecules into and out of living cells or
non-living vesicles. The practical uses are many and
25 vary according to the complexity of material delivered,
the site of delivery and the purpose for delivery.

Complexity ranges from small drug molecules that are
otherwise difficult to get into cells to complex mixtures
of polynucleotides.

30 The site of delivery is broadly divided into in vivo
and ex-vivo delivery. The choice of an in-vivo site is
based upon the location of the tissue to be treated and
whether or not local or systemic treatment is desired.

Clinical and industrial applications of this process
35 are possible. Often, in clinical and industrial
applications, it is desirable to insert large molecules
into large numbers of cells and to insure that all cells

- 2 -

have been processed equally. To do that, it is desirable to process all cells simultaneously to guarantee that all cells are subjected to the same process conditions.

Therapeutic purposes for delivery are many. Some
5 examples are gene replacement therapy, therapeutic
genetic medicine for acquired diseases, polynucleotide
vaccines, immunotherapy, enhanced chemotherapy and many
others. Industrial and agricultural applications are
equally varied. Some examples of industrial uses are
10 extraction of material from cells produced in a
fermenter, large scale transfection for production of
recombinant protein, modification of cells for industrial
use, sterilization of liquids or vaccine production.
Some examples of agricultural uses are vaccines for
15 livestock (to include ungulates, avian species and
aquatic animals) and modification of genes for
improvement of selected traits.

For standard in vitro electroporation, cuvettes are
usually used. These are chambers that consist of
20 parallel plate electrodes encased in plastic and have
limited capacity. Volumes used in these cuvettes are
under one milliliter. The limited volume limits the
total capacity for treating cells.

Typical cell densities used are in the range of 1
25 million to 10 million cells per milliliter. The cells
are typically placed in a physiological medium with high
ionic content such as phosphate buffered saline, which
has a conductivity of 0.017 Siemens/cm (17,000 mS/cm) per
centimeter.

30 In electroporation, cell density is an important
parameter. If the cells are not dense enough,
therapeutic or other material is wasted. If the cells
are too dense the electric field in the proximity of each
cell is not uniform in direction or in intensity. To
35 produce consistent results that are required for clinical
applications the electric fields close to the cells must
be both uniform in direction and intensity. According to

- 3 -

Fomekong et al in "Passive electrical properties of RBC suspensions: changes due to distribution of relaxation times in dependence on the cell volume fraction and medium conductivity", in Bioelectrochemistry and Bioenergetics, 1998, Vol 47: 81-88), the effect of cells on the electrical properties of cell suspensions is dependent upon the packed cell volume of the cells. For packed cell volumes less than 10% the distance between cells increases rapidly and therefore the interfering effect of one cell to another in the electric field decreases rapidly below a packed cell volume of 10%. A typical cell of 15 microns in diameter would be at 10% packed cell volume at approximately 60 million cells/ml (calculated using a mean cell volume of 0.000001767 mm³/cell). Thus cell densities under 60 million cells/ml should be used and normally cell densities under 30 million cells/ ml are used.

TABLE 1

Electrode Chamber Volume milliliters			
Number Out	Number In	Cell Density	
Million/m l	Million/ ml	20 million/ ml	40 million/m l
10	20	1	0.5
100	200	20	5
1000	2000	100	50

Clinical application generally requires 10 million to 500 million cells in which the large molecules have been properly inserted. If a treatment requires 10 million cells per dose (treatment) and 5 doses are required, at least 50 million therapeutic cells must be prepared. If the efficiency of the electroporation process is assumed to be 50% and cells are treated at a concentration of 20 million cells/ml then a 5 ml capacity electrode would be required (50 million X 2 / 20

- 4 -

million). If 100 million therapeutic cells are required, a 10 ml capacity electrode would be needed.

Simply increasing the size of the electrode to achieve the desired capacity is not practical because this causes a proportionate increase in amperage due to a decrease in resistance in the electrode. As the size of the electrode increases, the resistance of the electrode decreases as long as the conductivity of the medium used remains constant.

10 If a 100 million therapeutic cells are required and the input cell density is 20 million cells per milliliter then a 20 ml electrode is required.

In this case just scaling the size of the electrode up to 20 milliliters does not work. As the volume of the electrode increases the resistance of the electrode due to the conductivity of the media decreases. The resistance of the media in the electrode is calculated as follows:

20

Formula 1:

$$R = \frac{1}{\sigma} \frac{\text{gap}}{\text{area}} \text{ ohms}$$

where σ = conductivity in Siemens/cm, gap is in cm and plate area is in cm^2 . In addition:

25

Formula 2:

$$\text{volume} = \text{gap} * \text{area} \text{ cm}^3$$

30 and

Formula 3:

$$R = \frac{1}{\sigma} \frac{\text{gap}^2}{\text{volume}} \text{ ohms}$$

35

FORMULAS 1, 2, and 3 are taken from Electroporation and Electrofusion in Cell Biology, edited by Eberhard Neumann, Arthur Sowers, and Carol Jordan, Plenum Press,

- 5 -

1989, mentioned hereinabove.

The TABLE 2 below shows the electrode chamber resistance as a function of volume for a 4-millimeter gap
5 and media conductivity of 0.017 Siemens/cm.

TABLE 2

Electrode Volume ml	Media Resistance ohms
0.5	19.2
1	9.6
5	1.92
10	0.96
50	0.19

10

When the electrode chamber volume is above 1 ml the
15 resistance of the ionic solution becomes impractically
small; significant solution heating will occur due to the
high pulse current destroying the cells.

To address this problem a flow through technique was
developed. In this process the large volume of media
20 flows through a small treatment chamber, and the voltage
pulse waveform is applied to the parallel plates in the
chamber. The problems with this process are:

1. Not all the cells are exposed to the same electric field intensity and direction.
- 25 2. There is no guarantee that the density of the material to be inserted and the cell density are constant.
3. Only uniform pulse voltages may be applied. Variable rectangular pulse waveforms such as disclosed in
30 U. S. patent 6,010, 613 cannot be used.

In a flow through process there is no guarantee that all cells will be subjected to the same electric field

- 6 -

intensity and direction. In this respect, because of the properties of laminar and turbulent flow, not all of the cells will be treated for the same period of time in a flow through process. Lamina proximal to walls of flow through conduits travel slower than lamina distal to the walls. Flow through processes are used in both food processing where the electric field intensity is over 20,000 volts/cm and in inserting molecules into cells for therapeutic purposes.

A large body of prior art exists in the field of electroporation, and a number of aspects of this body of art are of particular interest herein. For example, of particular interest herein are disclosures of the electroporation medium, with special attention directed to medium parameters. In this respect, TABLE 3 herein sets forth a number of references relating to electroporation medium parameters such as cations, anions, osmolarity, and buffering.

TABLE 3

The following table summarizes the current state of the art:

Publication	Conductivity (μ S/cm)	Cations		Anions	Osmolarity	Buffer
		High Conc.	Low Conc.			
Invention	Low (50-150)	None	Ca, Mg	Organic	L-N	Histidine
5,124,259	High	K	Ca, Mg	Organic	N	
6,040,184	Very low	None	None	None	L-N	None
6,338,965	Very low	None	None	None	L-N	None
6,368,784	High	K	Ca, Mg	Cl	N	Phos, HEPES
Djuzenova 1996	Moderate to high (800-14000)	Na, K	Ca	Cl, Sulfate	N	Phos.
Kinosita 1977	High	Na		Cl		Phos.
Dimitrov 1990	Low to Moderate		Na	Phos., Cl		Phos.
Rols 1989	Low and high	Na		Cl		Phos.
Pucilar 2001	Low and high	Na, K (if	Mg	Cl, Sulfate	N	Phos

- 7 -

		used)				

More particularly with respect to TABLE 3, United
5 States Patent: 5,124,259 describes an electroporation
medium that provides high transfection efficiency. The
medium has potassium ions (35-105 milligram
equivalents/Liter) and organic anions and is essentially
devoid of chloride ions. The medium is highly conductive
10 as a result of the potassium ions. The use of low
conductive medium to allow the use of large
electroporation electrodes is not discussed.

United States Patents 6,040,184 and 6,338,965
describe an electroporation medium with essentially no
15 ions. The medium is made non-ionic through the use of
sugars and no inorganic ions. The patent describes
increased transfection efficiency in bacteria with the
non-ionic medium. The patent does not mention the
addition of a small amount of organic ions to provide
20 some conductivity and therefore some current to maintain
an electric field during electroporation.

United States Patent: 6,368,784 describes an
electroporation buffer that is also a cryoprotectant. It
also describes the use of this material for freezing
25 cells prior to transfection. The medium used has a high
concentration of potassium ions similar to that in
intracellular cytoplasm and similar to that described in
patent 5,124,259. The patent does not describe the use
of electroporation medium with lower conductivity to
30 allow the use of larger capacity electrodes.

Conductivity of the medium affects the movement of
material into cells. Djuezenova (Djuzenova et al,
Biochemica et Biophysica Acta V 1284, 1996, p 143-152)
showed that the uptake of small molecules is increased in
35 lower conductivity medium down to 1 mS/cm, the lowest
conductivity used in the study. Others have concurred

- 8 -

that lower conductivity increases the permeability of cells to small molecules during electroporation.

(Kinosita, K, Tsong, TY, Proc. Natl. Acad Sci, USA, 1977 V74:1923-1927) (Kinosita, K, Tsong, TY Nature, 1977

5 V268:438-440) (Dimitrov, DS, Sowers, AE, Biochem. Biophys. Acta. 1990, V 1022:381-392).

Kinosita found that with a given electric field, media of high conductivity allowed leakage of small ions (sodium and potassium) and medium of lower conductivity
10 allowed passage of larger molecules (sucrose but not proteins) through red blood cell membranes. More specifically, Kinosita et al disclose hemolysis of human erythrocytes employing an electroporation step. With respect to the cell used for electroporation, there is no
15 disclosure of electrode surface area. Therefore, and of key importance, cell chamber volume is indeterminable. A broad range of medium conductivities is stated. A broad range of electrode gaps is stated. Yet, there is no teaching provided for choosing any particular set of
20 medium conductivity and electrode gap.

Dimitrov showed that leakage of a fluorescent dye from electroporated red blood cells was less in medium with a moderate conductivity compared to medium with a low conductivity. Using a sensitive assay for
25 permeability of small molecules one group (Pucihar, G et al, Bioelectrochemistry 2001, V 54: 107-115) showed that lowering the conductivity of an electroporation buffer resulted in no change of permeability at given electric fields but an increase in viable cells. The assay used,
30 electroporation using bleomycin, detects small amounts of uptake of small molecules and would not be sensitive to differences in amount of electroporation in a given cell.

Others have found just the opposite effect, such as disclosed in "Better permeability of cells to small
35 molecules was seen during electroporation using media of higher conductivity" (Rols, MP, Tiessie, Eur. J Biochem 1989 V 179:109-115). Rols and Tiessie showed that

- 9 -

permeability to a small molecule, Trypan Blue, was greater in high sodium medium at equal field strength and equal number of pulses. Others (vnd den Hoff, MJ, Christoffels, VM, Labruyere, WT, Moorman, AF, Lamers, WH, 5 Electrotransfection with "intracellular" buffer, 1995, Methods Mol. Biol. V48:185-197) used high levels of potassium to mimic intracellular ionic content in an effort to preserve cell viability. A more recent study (Baron, S et al, J. Immunol. Meth., 2000 V 242: 10 115-126) used commercially available medium with a high potassium content (VisSpan, Belzer UW cold-storage solution, DuPont Pharmaceuticals) to increase electroporation efficacy. The material delivered during this study was macromolecules such as proteins and DNA.

15 None of the above references discussed the use of medium with lower conductivity to achieve the movement of macromolecules into mammalian cells. None of the references discussed the use of medium with lower conductivity to allow the use of larger capacity 20 electrodes.

Other components of the medium contribute both to transfection and to cell viability. One component that has been used is potassium. Potassium in physiological levels equal to intracellular amounts tends to increase 25 viability in electroporated cells. This was shown by van den Hoff (van den Hoff et al., Nucleic Acids Res., vol. 20, No. 11, 1992, p. 2902) and others. The addition of potassium to electroporation medium increases the conductivity of the medium and makes the medium less 30 desirable for use in larger electrodes.

Calcium ions also are reported to increase viability of cells following electroporation. The reason for the increase in viability is reported to be a contribution by calcium in the resealing process after electroporation. 35 The increase in viability due to calcium is slightly offset by decreased uptake of small molecules, presumably by the same mechanism of increased pore closure due to

- 10 -

calcium. The increase in viability due to small amounts of calcium (0.1 mM), is obtained at a low cost in terms of increased conductivity because of the small amount used. Therefore, the addition of calcium to
5 electroporation medium is desirable.

Osmolarity of the medium affects cell viability and the efficiency of movement of large molecules through cell membranes. Most electroporation is done using media with normal osmolarity. However, the use of hypoosmolar
10 media can increase the efficiency of DNA transfection. (van den Hoff et al, Nucleic Acids Res., vol. 18, No. 21, 1990, p. 6464) (Golzio et al., Biophys. J., vol. 74, 1998, pp. 3015-3022). Osmolarity can be adjusted in electroporation media using non-ionic compounds such as
15 sugars, sugar alcohols, aminosugars or other non-toxic organic compounds. These materials do not add to the conductivity. Conductivity can be precisely controlled using inorganic anions with inorganic or organic cations.

The use of non-ionic organic material to adjust
20 osmolarity without affecting conductivity is desirable.

Other references include:
Melkonyan et al., "Electroporation efficiency in mammalian cells is increased by dimethyl sulfoxide (DMSO)", Nucleic Acids Res., vol. 24, No. 21, 1996, pp.
25 4356-4357 and Rols et al., "Control by ATP and ADP of voltage-induced mammalian-cell-membrane permeabilization, gene transfer and resulting expression", Eur. J. Biochem., vol. 254, 1998, pp. 382-388.

30 Other parameters are of interest herein with respect to electroporation methods and apparatus disclosed in the prior art. Of particular interest are the parameters of capacity, environment for cell treatment (static or flow), treated material, whether clinical use is provided
35 for, and media or buffer used. TABLE 4 sets forth a number of U. S. patents with respect to these parameters.

TABLE 4

Patent	Capacity	Static or flow	Treated material	Clinical use	Media or buffer used
4,695,472	Large	Flow	Food	N	Food
4,695,547	Small	Static	Cells	N	Any
4,838,154	Large	Flow	Food	N	Food
4,849,089	Small	Static	Cells	N	Any
4,882,281	Small	Static	Cells	N	Any
5,048,404	Large	Flow	Food	N	Food
5,098,843	Large	Flow*	Cells	Possibly	Non-Ionic
5,128,257	Small	Static	Adherent cells	N	Ionic
5,134,070	Small	Static	Adherent cells	N	Ionic
5,137,817	Small **	Static	Cells	Y	Any
5,173,158	Small	Static (on filter)	Cells	Possibly	Any
5,186,800	Small	Static	Bacteria	N	Low ionic
5,232,856	Small	Static	Adherent cells	N	Any
5,235,905	Large	Flow	Food	N	Food
5,283,194	Small	Static	Cells	Possibly	Any
5,545,130	Large	Flow	Blood	Y	Ionic
5,676,646	Large	Flow	Blood	Y	Ionic
5,720,921	Large	Flow	Blood	Y	Ionic
5,776,529	Large	Flow	Food	N	Ionic
5,874,268	Small	Static	Adherent cells	N	Any
6,001,617	Small	Static	Adherent cells	N	Any
6,074,605	Large	Flow	Blood	Y	Ionic

5

Notes for TABLE 4:

10 *Electric field is always on, no pulses, effective pulse width determined by flow rate

** Electrodes plated onto surface

15 More specifically with respect to the patents set forth in TABLE 2, United States Patent 4,695,472 describes the treatment of food by electroporation using a large volume flow-through chamber. Cannot reduce conductivity of food, has large effective capacity, no clinical use.

20 United States Patent 4,695,547 describes round electrodes for electroporation within round tissue

- 12 -

culture plates. No low conductive medium, no large size, no clinical use

5 United States Patent 4,838,154 describes the treatment of food by electroporation using a large volume flow-through chamber. Cannot reduce conductivity of food, has large effective capacity, no clinical use.

10 United States Patent 4,849,089 describes round electrodes for electroporation using fully enclosed chambers. No low conductive medium, no large size, no clinical use

United States Patent 4,882,281 describes round electrodes for electroporation within round tissue culture plates. No low conductive medium, no large size, no clinical use.

15 United States Patent 5,048,404 describes the treatment of food by electroporation using a large volume flow-through chamber. Cannot reduce conductivity of food, has large effective capacity, no clinical use.

20 United States Patent 5,098,843 describes a flow through electroporation chamber for transfection of cells. The pulse is always on and the effective pulse width is determined by the time in the chamber (flow rate). Non-ionic medium is described, large volume capacity, possible clinical use but not described.

25 United States Patent 5,128,257 describes an apparatus for transfecting cells grown as adherent cells. Apparatus consists of multiple parallel plates placed on a monolayer of cells. Only buffer described is PBS (highly ionic), large capacity difficult due to monolayer of cells. Clinical use not described.

30 United States Patent 5,134,070 describes a chamber for culturing cells on an optically transparent surface that is conductive. The chamber is for electroporation of the adherent cells. Low-ionic medium is mentioned in the claims but no specific formula is discussed. Large capacity difficult because of adherent cells, no clinical use mentioned.

- 13 -

United States Patent 5,137,817 describes a variety of electrodes. The example used non-ionic medium, however it mentions that a variety of different ionic strength media can be used. In vivo and in vitro electrodes are described. The in vitro electrodes are small capacity because they have electrodes plated onto surfaces (not easily scalable). Low ionic medium used, small capacity, clinical uses mentioned for in vivo electrodes.

10 United States Patent 5,173,158 mentions the electroporation of cells that are trapped in pores of a non-conducting membrane. Low voltages are possible because all current flows through the membrane pores. Electroporation medium conductivity or ionic content is not mentioned. No clinical use is mentioned. Small capacity due to the need to trap cells in a pore.

15 United States Patent 5,186,800 describes the transfection of prokaryotes (bacteria). Low ionic medium is used. Does not describe the use of low ionic medium with mammalian cells. States small capacity is desired. No clinical use described.

20 United States Patent 5,232,856 describes electroporation where one electrode is partially conductive. A tilted electrode may be used on one of the electrodes to compensate for the uneven electric fields generated using one partially conductive electrode. Although not clear in the claims, the partially conductive electrode is for adherence of cells to its surface. Ionic content of medium not mentioned.

25 Adherence would limit size. Clinical use is not mentioned.

30 United States Patent 5,235,905 describes the use of electroporation to process liquid food. Large capacity flow through electrode is described. Ionic content of food is not adjustable. Large static capacity is not described. Clinical use is not described.

35 United States Patent 5,283,194 mentions the

- 14 -

electroporation of cells that are trapped in pores of a non-conducting membrane. Low voltages are possible because all current flows through the membrane pores. Electroporation medium conductivity or ionic content is not mentioned. No clinical use is mentioned. Small capacity due to the need to trap cells in a pore.

United States Patent 5,514,391 describes the use of electroporation to process liquid food. Large capacity flow through electrode is described. Ionic content of food is not adjustable. Large static capacity is not described. Clinical use is not described.

United States Patent 5,545,130 and United States Patent 5,676,646 describe a flow through electroporation device. It is designed to treat whole blood. Material can be added to the blood that is not ionic but blood is highly ionic. Large capacity is due to flow through. Low conductivity is not mentioned for increasing capacity. Large static capacity is not described. Clinical use is described.

United States Patent 5,720,921 describes a flow through electroporation chamber. A modification is made to add flexible walls to buffer pressure changes. The main example given is to treat red blood cells by introducing material in them that increases the release of oxygen from the cells. An electroporation medium is used that is conductive. Large capacity is due to flow through. Low conductivity is not mentioned for increasing capacity. Large static capacity is not described. Clinical use is described.

United States Patent 5,776,529 describes the use of electroporation to process liquid food. Large capacity flow through electrode is described. Ionic content of food is not adjustable. Large static capacity is not described. Clinical use is not described.

United States Patent 5,874,268 describes an electroporation chamber designed to electroporate adherent cells. The intent of the invention is to reduce

- 15 -

the number of cells needed. Large capacity is not mentioned. Specific electroporation buffers are not mentioned (just a statement about using any electroporation buffer). Clinical use is not described.

5 United States Patent 6,001,617 describes an optically transparent electroporation chamber for treatment of adherent cells. Size is limited by adherent cells. No low ionic medium is discussed. No clinical use is discussed.

10 United States Patent 6,074,605 describes a flow through electroporation chamber. The main example given is to treat red blood cells by introducing material in them that increases the release of oxygen from the cells.

An electroporation medium is used that is conductive. 15 Large capacity is due to flow through. Low conductivity is not mentioned for increasing capacity. Large static capacity is not described. Clinical use is described.

20 Another aspect of the prior art relates to the parameters of conductivity in conjunction with electrode dimensions (height, width, and gap), presence or absence of a cuvette, volume, and dimension, such as shown in TABLE 5.

25 TABLE 5

1. TABLE 5

2. Electrode Dimensions

Static, no adherent cells

Publication	Conductivity ($\mu\text{S}/\text{cm}$)	Electrode Dimensions			Cuvette	Volume ml	Dimension
		Height	Width	Gap			
			mm	mm	mm		
5,124,259	High (~10K)	2	87.5	4	N	0.7	0.23
6,040,184	Very low				Y		0.1-0.4
6,338,965	Very low				Y		0.1-0.4
6,368,784	High (~17K)				4		0.4
Djuzenova 1996	Moderate to high (800-14000)			6	N	1.2	0.3
*Kinosita 1977	Saline and sucrose		5-100	2-10	N, cross section		Not determinable from

- 16 -

					n= 50- 200 mm ²		publicatio n
Reimann 1975	PBS	30	30	10			0.11
Dimitrov 1990	Low to Moderate (~100-10K)			2	N	.003	66
Pucilar 2001	0.0011 - 1.61 S/m			2		.05	0.8
Baron 2000	High (~17K)				4	.4	0.4
Schwister 1985	PBS	30	30	10	N	10	0.11
Mussauer 2001	1.5-3.5 mS/cm				2	.4	0.1
Mussauer 1999	1-8 mS/cm			6		1.1	0.33
Fomekong 1998	0.064- 1.447 S/cm			5		.884	0.28
5,128,257	Saline	10-20	50-80		0.5- 1.5		
5,186,800	Water				0.5 - 2.5	0.001- 1	0.5- hundreds

5

Having discussed prior art above, it is clear that the foregoing body of prior art does not teach or suggest electroporation methods and apparatus which have the following combination of desirable features: (1) can be used for clinical and therapeutic purposes wherein all cells, ex vivo or in vitro, are subject to substantially the same process conditions; (2) is scalable so that substantially large volumes of ex vivo or in vitro cells can be processed in a relatively short period of time; (3) achieves increased cell capacity without increasing the size of electrodes resulting in excessively large amperage requirements; (4) limits heating within the treatment cell to low levels; (5) exposes substantially all ex vivo or in vitro cells to the same electric field intensity and direction; (6) provides that the density of the material to be inserted into the treatment chamber can be held constant; (7) permits variable rectangular pulse waveforms such as disclosed in U. S. Patent No. 6,010,613 can be employed; (8) avoids problems in flow

- 17 -

through treatment cells that are due to laminar and
turbulent flow conditions; (9) permits the use of medium
with lower conductivity to achieve the movement of
macromolecules into mammalian cells and to allow the use
5 of larger capacity electrodes; and (10) is easily
scalable to large capacity without using a flow through
treatment chamber for cells to be treated.

The foregoing desired characteristics are provided by
the unique large volume ex vivo electroporation method of
10 the present invention as will be made apparent from the
following description thereof. Other advantages of the
present invention over the prior art also will be
rendered evident.

15

DISCLOSURE OF INVENTION

In view of the above, it is an object of the present
invention is to provide a large volume ex vivo
20 electroporation method which can be used for clinical and
therapeutic purposes wherein all cells, ex vivo or in
vitro, are subject to substantially the same process
conditions.

Still another object of the present invention is to
25 provide a large volume ex vivo electroporation method
that is scalable so that substantially large volumes of
ex vivo or in vitro cells can be processed in a
relatively short period of time.

Yet another object of the present invention is to
30 provide a large volume ex vivo electroporation method
which achieves increased cell capacity without increasing
the size of electrodes resulting in excessively large
amperage requirements.

Even another object of the present invention is to
35 provide a large volume ex vivo electroporation method
that limits heating within the treatment cell to low
levels.

- 18 -

Still a further object of the present invention is to provide a large volume ex vivo electroporation method which exposes substantially all ex vivo or in vitro cells to the same electric field intensity and direction.

5 Yet another object of the present invention is to provide a large volume ex vivo electroporation method that provides that the density of the material to be inserted into the treatment chamber can be held constant.

10 Still another object of the present invention is to provide a large volume ex vivo electroporation method which permits variable rectangular pulse waveforms such as disclosed in U. S. Patent No. 6,010,613 can be employed.

15 Yet another object of the present invention is to provide a large volume ex vivo electroporation method that avoids problems in flow through treatment cells that are due to laminar and turbulent flow conditions.

20 Still a further object of the present invention is to provide a large volume ex vivo electroporation method that permits the use of medium with lower conductivity to achieve the movement of macromolecules into mammalian cells and to allow the use of larger capacity electrodes.

25 Yet another object of the present invention is to provide a large volume ex vivo electroporation method which is easily scalable to large capacity without using a flow through treatment chamber for cells to be treated.

30 These together with still other objects of the invention, along with the various features of novelty which characterize the invention, are pointed out with particularity in the claims annexed to and forming a part of this disclosure. For a better understanding of the
35 invention, its operating advantages and the specific objects attained by its uses, reference should be had to the accompanying drawings and descriptive matter in which

- 19 -

there are illustrated preferred embodiments of the invention.

To achieve the foregoing and other advantages, the present invention, briefly described, provides a static
5 chamber with large volume to insure all cell are subject to the same electric field intensity and direction and the density of the cells and material are uniform. With this invention any waveform may be used. This invention is a voltage waveform generator connected to an electrode
10 with parallel plates with has low conductivity media, a cell density of 20 million cells or less. The invention uses media with conductivity between 50 $\mu\text{S}/\text{cm}$ and 500 $\mu\text{S}/\text{cm}$. The invention may be used in clinical applications and has a closed sterile chamber into which
15 the cells and large molecules are inserted and removed.

In accordance with one aspect of the invention, a method is provided of treating vesicles with exogenous material for insertion of the exogenous material into the vesicles includes the steps of:

20 a. retaining a suspension of the vesicles and the exogenous material in a treatment volume in a chamber which includes electrodes, wherein the chamber has a geometric factor (cm^{-1}) defined by the quotient of the electrode gap squared (cm^2) divided by the chamber volume
25 (cm^3), wherein the geometric factor is less than or equal to 0.1 cm^{-1} , wherein the suspension of the vesicles and the exogenous material is in a medium which is adjusted such that the medium has conductivity in a range spanning 0.01 to 1.0 milliSiemens, wherein the suspension is
30 enclosed in the chamber during treatment, and

b. treating the suspension enclosed in the chamber with one or more pulsed electric fields,

wherein in accordance with a. and b. above, the treatment volume of the suspension is scalable, and
35 wherein the time of treatment of the vesicles in the chamber is substantially uniform.

Preferably, the chamber is a closed chamber.

- 20 -

Preferably, the chamber has at least a 2 milliliter capacity. The chamber and the contents thereof can be sterile. Preferably, the chamber includes entry and exit ports for entry and removal of the suspension.

5 Preferably, the electrodes are parallel plate electrodes.

The electric fields are substantially uniform throughout the treatment volume. The electric fields can include a rectangular voltage pulse waveform to produce a
10 uniform pulse electric field between parallel plate electrodes greater than 100 volts/cm and less than 5,000 volts/cm, substantially uniform throughout the treatment volume.

The vesicles can be living cells, and the medium can
15 be a physiological medium and has a conductivity between 50 and 500 μ S/cm. The number of living cells that are treated in the chamber at one time can be more than 10 million in number. Furthermore, the number of living cells that are treated in the chamber at one time can be
20 more than 20 million in number.

The vesicles can be autologous cells that are to be returned to a donor after treatment with the exogenous material. The vesicles can be syngeneic cells that are to be given to a recipient other than the donor. The
25 vesicles can be xenogeneic cells. The vesicles can be artificial liposomes.

The pulsed electric fields can be from electrical pulses which are in a sequence of at least three non-sinusoidal electrical pulses, having field strengths
30 equal to or greater than 100 V/cm, to the material. The sequence of at least three non-sinusoidal electrical pulses has one, two, or three of the following characteristics (1) at least two of the at least three pulses differ from each other in pulse amplitude, (2) at
35 least two of the at least three pulses differ from each other in pulse width, and (3) a first pulse interval for a first set of two of the at least three pulses is

- 21 -

different from a second pulse interval for a second set of two of the at least three pulses.

With the method of the invention, the temperature rise during vesicle treatment is miniscule.

5 The method of the invention is scalable in a range spanning 2 to 10 milliliters. The method of the invention can be carried out in sequential batches.

10 The exogenous material can be a therapeutic material. The exogenous material can be a therapeutic product formed from the treatment of the vesicles with exogenous material. The exogenous material can be selected from the following group: a polynucleotide; DNA; RNA; a polypeptide; a protein; and an organic compound.

15 The exogenous material can include numerous base pairs, for example, at least eight base pairs.

20 With the invention, the chamber has a chamber volume, the suspension has a suspension volume, and the suspension volume is greater than the chamber volume. In this respect, an initial portion of the suspension volume is moved into the chamber, retained and treated in the chamber, and moved out from the chamber. Then, an additional portion of the suspension volume is moved into the chamber, retained and treated in the chamber, and moved out from the chamber.

25 Still further portions of the suspension volume are sequentially moved into the chamber, retained and treated in the chamber, and moved out from the chamber. These steps can be repeated until the suspension volume is depleted.

30 In accordance with another aspect of the invention, an electroporation apparatus is provided which includes a chamber which has a chamber volume of at least 2 milliliters. A pair of electroporation electrodes are contained within the chamber. An electroporation medium, carrying vesicles in suspension, is contained in the chamber between the electroporation electrodes. The

- 22 -

medium has a conductivity between 50 and 500 mS/cm. A source of pulsed voltages is electrically connected to the electroporation electrodes, and means for adding material to the chamber for electroporation treatment
5 therein. Also, means are provided for removing treated material from the chamber.

Preferably, sealing means are connected to the chamber for providing a sealed chamber. The sealing means can include a quantity of elastomer material.

10 Preferably, the sealed chamber is sterile inside the chamber. Preferably, the chamber includes vent means for venting air when fluid is moved into the chamber. The vent means can include a filter member in a wall of the chamber. Alternatively, the vent means can include a
15 vent cell in fluid communication with the chamber.

The chamber includes a chamber inlet and a chamber outlet.

A first reservoir can be provided in fluid communication with the chamber inlet, for containing the vesicle-
20 bearing electroporation medium prior to introduction into the chamber. A second reservoir can be provided in fluid communication with the chamber inlet, for containing a chamber flushing material for flushing treated vesicle-bearing medium out from the chamber. A third reservoir
25 can be provided in fluid communication with the chamber outlet, for receiving treated, vesicle-bearing medium that is flushed out from the chamber.

The first reservoir, the second reservoir, and the third reservoir can be comprised of flexible bags. An
30 inlet valve can be connected between the chamber inlet and the first reservoir and the second reservoir, and an outlet valve can be connected between the chamber outlet and the third reservoir.

35

BRIEF DESCRIPTION OF DRAWINGS

- 23 -

The invention will be better understood and the above objects as well as objects other than those set forth above will become more apparent after a study of the following detailed description thereof. Such
5 description makes reference to the annexed drawing wherein:

FIG. 1 is a schematic illustration of apparatus employed with carrying out the method of the invention.

FIG. 2 is a graph illustrating the operating range
10 of the method of the invention, inside the triangle, and how the operating range of the invention is outside operating ranges of prior art electroporation methods, indicated by small blocks outside the triangle.

FIG. 3 is a graph illustrating the relationship
15 between charging time (in microseconds) of biological cells and media conductivity (in microSiemens/cm) for cells having three different diameters, namely 1 micrometer, 10 micrometers, and 100 micrometers.

FIG. 4 is a graph showing Time Constant versus
20 Conductivity as it relates to the method of the invention.

25 MODES FOR CARRYING OUT THE INVENTION

As previously described a significant problem is the conductivity of the media use in electroporation. In this process a low conductivity media is employed to keep
30 the total resistance of the media small and virtually eliminates heating. Not just any media conductivity can be used. As the ionic content of the media is reduce the number of free-ions ~~that are available to build charge~~ (voltage) across the cell member is decreased. The
35 effect is to increase the amount of time it takes to charge the membrane. This process is described by the equation in Electroporation and Electrofusion in Cell

- 24 -

Biology, edited by Eberhard Neumann, Arthur Sowers, and Carol Jordan, Plenum Press, 1989, on page 71. Assuming a typical cell diameter of 10 microns, the charging time is 20 microseconds at 80 $\mu\text{S}/\text{cm}$. Below 80 $\mu\text{S}/\text{cm}$ the charging time become too long and the pathways in cell membrane stop forming. The TABLE 6 below illustrates the resistance of the media as a function of electrode chamber volume and conductivity.

TABLE 6

Electrode Volume ml	Media Resistance - ohms		
	17,000 $\mu\text{S}/\text{cm}$	200 $\mu\text{S}/\text{cm}$	80 $\mu\text{S}/\text{cm}$
0.5	19.2	1600	4000
1	9.6	800	2000
5	1.92	160	400
10	0.96	80	200
50	0.19	16	40

Ex vivo electroporation has been demonstrated in numerous published research projects. At this point commercial applications, such as clinical transfection to produce a vaccine for the patient, requires large electrodes or chambers to process millions of cells at one time. The static parallel plate chamber provides the most uniform amplitude and most uniform electric field direction of any configuration available. This uniformity is required to insure uniform treatment of the target cells. It is also important not to use very high-density cell concentration such as 30 million cells/ml to insure local uniform electric fields about the cells. This invention applies to chambers larger than 1 milliliter.

Using larger chambers results in high current flow

- 25 -

when voltage is applied. The equations for chamber resistance vs. conductivity of the cell and media mixture and the chamber dimensions are as follows:

5
$$\text{Volume of material} = l \times A$$

$$\text{Resistance of Material} = \rho \frac{l}{A} = \frac{1}{\sigma} \frac{l}{A} = \frac{1}{\sigma} \frac{l^2}{v} = \frac{GF}{\sigma} \quad \text{ohms}$$

10

ohm-cm

 ρ = resistivity in σ = 1/ ρ in Siemens/cm

15

 v = volume of material

being treated

20

There is a Geometric Factor (GF), which is a constant for any chamber dimension. As the volume of the chamber gets larger the resistance of the material in the chamber gets smaller thus increasing current flow.

25

The present invention uses an electrode with large capacity in combination with an electroporation buffer of defined low conductivity. This process exposes all cells to the same treatment conditions, provides control over the amperage required and can process large numbers of

30

cells. Since the cell suspension statically remains in the chamber during application of pulsed electric fields, complex waveforms can be used.

Another aspect of the invention further increases capacity by alternately filling and emptying the gap between the electrodes. In this manner, all desired properties are met during a specific treatment and the electrodes can be re-used for subsequent treatments in an intermittent batch process.

35

This present invention specifies a range of material

40

conductivities, which can be used versus the chamber dimensions, the larger the volume the smaller the

- 26 -

conductivity. This invention specifies an operating area for use with the larger volume electrodes. This is illustrated in FIG. 2. Operating points of prior art published results are also presented in FIG. 2 as squares. For chambers with a Geometric Factor less than 0.1 there are two limiting factors, which are related. The first is the absolute value of the chamber resistance. In this invention the chamber resistance is one ohm or greater. Operating below one ohm is view as impractical. The other constraint is the conductivity of the medium in the chamber. As the conductivity decreases the charging time of the cell membrane increases because there are fewer ions external to the cell membrane.

The relationship between the Transmembrane Voltage (TMV) and conductivity and cell diameter is as follows, taken from Neumann et al stated below:

Transmembrane Voltage = TMV

$$TMV = -1.5Er|\cos\delta|f(\lambda)$$

where:

E = electric field in volts/cm

r = cell radius in cm

δ = angle from electric field line in degrees

$f(\lambda)$ = composite conductivity

$$f(\lambda) = \frac{\lambda_o \lambda_i (2 \frac{d}{r})}{(2\lambda_o + \lambda_i)\lambda_m + (2 \frac{d}{r})(\lambda_o - \lambda_m)(\lambda_i - \lambda_m)}$$

where: λ_o = conductivity of media external to cell milliSiemens/cm

λ_i = conductivity of cytoplasm

λ_m = conductivity of cell membrane

d = thickness of cell membrane

- 27 -

Reference: Electroporation and Electrofusion in Cell
Biology

Edited by Eberhard Neumann, Arthur Sowers, and
5 Carol Jordon
Plenum Press, 1989

10 Below 1 microSiemens/cm there are so few ions that
the time to change the cell membrane is unrealistically
large.

The preferred operating region of the present
15 invention is then:

Cell diameter	> 1 micrometer
Chamber volume	> 1 milliliter
Conductivity of Material to be treated	> 1 microSiemens/cm
20 Total resistance of material to be treated in chamber	> 1 ohm
Geometric Factor of Chamber	< 0.1 cm ⁻¹

The invention uses a static chamber with large
25 volume to insure that all cells are subject to the same
electric field intensity and direction and the density of
the cells and treating material are uniform. With this
invention any waveform may be used. This invention is a
voltage waveform generator connected to an electrode with
30 parallel plates with has low conductivity medium, a cell
density of 20 million cells or less.

A component of the invention is the use of low
conductivity medium within a defined range to limit
amperage and heat while simultaneously providing enough
35 ions to effectively electroporate cells. Typically the
medium used will have a conductivity between 50 mS/cm and
500 mS/cm.

- 28 -

The invention may be used in clinical applications and with a closed sterile chamber into which the cells and large molecules are inserted and removed.

One aspect of the invention further increases
5 capacity by alternately filling and emptying the electrode. In this manner, all desired properties are met during a specific treatment and the electrode can be re-used for subsequent treatments in an intermittent batch process.

10 The conductivity of the medium used in electroporation is an important aspect of this invention.

In this process, a low conductivity medium is employed to keep the total resistance of the medium small and virtually eliminate heating. There is a limit to the
15 lower conductivity medium that can be used. As the ionic content of the medium is reduced the number of free ions that are available to build charge (voltage) across the cell membrane is decreased. The effect is to increase the amount of time it takes to charge the membrane. This
20 process is described by the equation in Neumann, p71. Assuming a typical cell diameter of 10 microns, the charging time is 20 microseconds at 80 mS/cm. Below 80 mS/cm the charging time becomes too long and the pathways in cell membranes stop forming.

25 Using an electrode with a 4 mm gap, TABLE 6 illustrates the resistance of the medium as a function of electrode chamber volume and conductivity.

In one aspect of the invention, a chamber with two electrodes is used as shown in FIG 1. An example of
30 electrode dimensions that can be used is a gap of 0.4 cm, electrode height of 2 cm and electrode length of 10 cm. The chamber can be used with a commercial electroporator such as the Cyto Pulse Sciences, Inc. PA-4000 electroporator.

35 An example of a medium that can be used with the chamber is one with the following formula:
Sorbitol 280 millimoles

- 29 -

Calcium Acetate, 0.1 millimoles
Magnesium Acetate, 0.5 millimoles

FIG. 3 is a graph illustrating the relationship
5 between charging time (in microseconds) of biological
cells and media conductivity (in microSiemens/cm) for
cells having three different diameters, namely 1
micrometer, 10 micrometers, and 100 micrometers. From
FIG. 3 it is clear that for media conductivity below 1
10 microSiemen/cm, the charging time would be so large that
electroporation would not work.

As to the manner of usage and operation of the
instant invention, the same is apparent from the above
15 disclosure, and accordingly, no further discussion
relative to the manner of usage and operation need be
provided.

It is apparent from the above that the present
invention accomplishes all of the objects set forth by
20 providing a large volume ex vivo electroporation method
which may advantageously be used for clinical and
therapeutic purposes wherein all cells, ex vivo or in
vitro, are subject to substantially the same process
conditions. With the invention, a large volume ex vivo
25 electroporation method is provided which is scalable so
that substantially large volumes of ex vivo or in vitro
cells can be processed in a relatively short period of
time. With the invention, a large volume ex vivo
electroporation method is provided which achieves
30 increased cell capacity without increasing the size of
electrodes resulting in excessively large amperage
requirements. With the invention, a large volume ex vivo
electroporation method is provided which limits heating
within the treatment cell to low levels. With the
35 invention, a large volume ex vivo electroporation method
is provided which exposes substantially all ex vivo or in
vitro cells to the same electric field intensity and

- 30 -

direction. With the invention, a large volume ex vivo electroporation method provides that the density of the material to be inserted into the treatment chamber can be held constant. With the invention, a large volume ex vivo electroporation method is provided which permits variable rectangular pulse waveforms such as disclosed in U. S. Patent No. 6,010,613 can be employed. With the invention, a large volume ex vivo electroporation method is provided which avoids problems in flow through treatment cells that are due to laminar and turbulent flow conditions. With the invention, a large volume ex vivo electroporation method is provided which permits the use of medium with lower conductivity to achieve the movement of macromolecules into mammalian cells and to allow the use of larger capacity electrodes. With the invention, a large volume ex vivo electroporation method is provided which is easily scalable to large capacity without using a flow through treatment chamber for cells to be treated.

With respect to the above description, it should be realized that the optimum dimensional relationships for the parts of the invention, to include variations in size, form function and manner of operation, assembly and use, are deemed readily apparent and obvious to those skilled in the art, and therefore, all relationships equivalent to those illustrated in the drawings and described in the specification are intended to be encompassed only by the scope of appended claims.

While the present invention has been shown in the drawings and fully described above with particularity and detail in connection with what is presently deemed to be the most practical and preferred embodiments of the invention, it will be apparent to those of ordinary skill in the art that many modifications thereof may be made without departing from the principles and concepts set forth herein. Hence, the proper scope of the present invention should be determined only by the broadest

- 31 -

interpretation of the appended claims so as to encompass all such modifications and equivalents.